

**COMMONWEALTH OF KENTUCKY
DEPARTMENT OF WORKERS' CLAIMS
ELECTRONIC DATA INTERCHANGE
FIRST REPORT OF INJURY (FROI) EVENT TABLE**

MTC	IDENTIFIES	DEFINITION	STATE STATUTE REQUIREMENTS	EDI PROGRAM REQUIREMENTS			
00	Original	The First Report transmission which defines when the injured worker was injured; how, where and why.	Employer has three (3) days to notify the Claims Administrator. Claims Administrator has seven (7) days to notify Kentucky DWC. Must be filed and accepted within ten (10) days of the injury being reported to the employer. See KRS 342:038	Does not require an Agency Claim Number if "00" is filed after "04". Otherwise the Agency Claim Number assigned to the "04" must be reported on the "00".	Rejected "00" records have to be corrected and re-filed as the "00".	To file a NO LOST TIME for payment of partial benefits, exclude DATE RETURNED TO WORK . Providing remaining edits are met, it will be accepted.	If the Date of Death field is filled in a Fatality letter (WC-7) will be generated.
01	Cancellation	Used to cancel an existing First Report which was sent in error.		Requires a "00", "AU" or "04" report to be on file. Submit the KY Claim Number from previous filings.	"01" DELETES ALL PRIOR REPORTS FROM OUR SYSTEM.	If "01" filing is in error, you must file "00" or "AU" again to start the claim.	Use DN0038 to explain circumstances necessitating the cancellation. MTC will not trigger the generation of a statute letter.
02	Change Record	Used when a change is to be made on an existing First Report Original, Denial, Acquired Unallocated, or when the carrier switches to a new trading partner.		A Change Record may be sent for any MTC where First Reports are concerned, however, said MTC must be on file.	When filling out the MTC date field, use the MTC date of the previously filed report being changed.	A MANUAL CHANGE FORM is required to change the date of injury (DN0031), nature of injury (DN0035), social security number (DN0042), and date of death (DN0057).	File "02" after submitting the manual change form to show the change was made in your system. If the Date of Death field is filled in a Fatality letter (WC-7) will be generated.
04	Denial	Used when the trading partner knows the entire claim will be denied.	Employer has three (3) days to notify the Claims Administrator. Claims Administrator has seven (7) days to notify Kentucky DWC. If this is filed as the only First Report, it must be filed and accepted within ten (10) days of the injury being reported to the employer. See KRS 342:038	Can be filed after the "00" or "AU" has been accepted, but must contain the KY Claim Number. Denial First Reports can be filed through EDI even though they do not meet the lost time requirements of more than one day.	The "04" can be filed as the Original report. Rejected "04" records have to be corrected and re-filed as the "04".	First Report Denial should be filed before benefit payments begin. If benefits have been paid, file the Denial as a Subsequent Report.	Denial First Reports and Denials filed after the original "00" generate the Statute of Limitations Letter - either a (WC-5 or WC-6) based on whether the "04" was filed timely or not filed timely. Timeliness of filing is based on the date of the injury and whether it is or is not within two years of the current date. If the Date of Death field is filled in a Fatality letter (WC-7) will be generated.
AU	Acquired Unallocated	Used to report when a carrier is taking ownership of a claim acquired from the previous holder of the claim.		The Original First Report does not have to be on file. However if an "00" or "04" has been filed, submit the KY Claim Number assigned to the "00" or "04" when reporting the "AU".	DWC will keep track of "AU" claims filed with no previous Original.	Rejected "AU" records have to be corrected and re-filed as the "AU".	The "AU" report must be filed within 14 days of the Acquiring Agent taking ownership of the injury. (See IAIBC Guide - Section 5, pg. 14.) If the Date of Death field is filled in a Fatality letter (WC-7) will be generated.
CO	Correction	Used when correcting errors at the request of the jurisdiction to an existing First Report of Injury of any kind.	See 803 KAR 25:170	A Correction may be sent for any MTC where First Reports are concerned, however, said MTC must be on file.	When filling out the MTC date field, use the MTC date of the previously filed report being changed.	A "CO" should only be filed on "TE" acknowledgements to let DWC know that you made the change in your system.	If the Date of Death field is filled in a Fatality letter (WC-7) will be generated.